

SRO Arbitration Figures

Three-Year Chart 1989-1991

Year	Total Cases Received*	Total Cases Concluded Including Settlements	Small Claims Received	Small Claims Concluded	Public Customer Cases Decided	Awards In Favor Of Public
AMERICAN STOCK EXCHANGE						
1989	69	73	26	15	26	13
1990	69	72	21	21	25	15
1991	51	64	15	22	25	17
*The Boston Stock Exchange received one new case filing in 1991. In the two prior years, it had received none and concluded none.						
CHICAGO BOARD OPTIONS EXCHANGE						
1989	71	105	17	20	43	14
1990	67	85	16	18	32	16
1991	46	62	5	12	13	9
MIDWEST STOCK EXCHANGE						
1989	5	6	0	0	0	0
1990	4	3	0	0	1	1
1991	5	2	0	0	0	0
MUNICIPAL SECURITIES RULEMAKING BOARD						
1989	108	93	41	40	50	36
1990	75	90	41	32	40	22
1991	94	82	35	41	38	18
NATIONAL ASSOCIATION OF SECURITIES DEALERS						
1989	3,651	4,050	1,417	967	2,097	1,130
1990	3,617	4,019	703	900	1,530	826
1991	4,150	4,037	787	665	1,419	775
NEW YORK STOCK EXCHANGE						
1989	1,407	1,458	201	229	527	267
1990	1,378	1,466	222	199	499	256
1991	1,403	1,496	187	199	451	185
PACIFIC STOCK EXCHANGE						
1989	61	93	19	27	86	37
1990	93	68	25	25	45	26
1991	86	82	21	17	33	21
PHILADELPHIA STOCK EXCHANGE						
1989	32	22	9	10	15	7
1990	29	34	5	9	15	7
1991	33	32	13	15	15	8
1989	5,404	5,900	1,730	1,308	2,844	1,504
1990	5,332	5,837	1,033	1,204	2,187	1,169
1991	5,869*	5,857	1,063	971	1,994	1,033